Data and I	Business Rules – A	voiding Unpla	nned Adr	nissions ES	
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New GMS Contract Implementation

Dataset and Business Rules

Avoiding Unplanned Admissions ES

Amendment History:

Version	Date	Amendment History
3.0	18-July-2014	Signed off following review and negotiations. Changes made to incorporate new enhanced services terminology and align date syntax with QOF. Document version set at v3.0 in line with other 2014/15 ES business rules.
4.0	28-July-2014	Updated following April 2014 code release

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Dataset and business rules – Avoiding Unplanned Admissions ES

<u>Notes</u>

- 1) Dates used:
 - a. ACHIEVEMENT_DAT: The date up to which patient information is considered when determining the output for each extraction.
 - b. PAYMENTPERIODSTART_DAT: The start date of the period for which payments are made for a given Quality Service. For any given Quality Service there will be one or more payment periods.
 - c. PAYMENTPERIODEND_DAT: The end date of the period for which payments are made for a given Quality Service. For any given Quality Service there will be one or more payment periods.
 - d. QUALITY_SERVICE_START_DAT (QSSD): The start of the period during which a GP Practice provides the Quality Service.
 - e. QUALITY_SERVICE_END_DAT (QSED): The end of the period during which a GP Practice provides the Quality Service.

The QUALITY_SERVICE_START_DAT (QSSD) for this ES is 01.07.2014 The QUALITY_SERVICE_END_DAT (QSED) for this ES is 31.03.2015

- 2) Clinical codes quoted are (where known) from the April 2014 release of Read codes version 2 and clinical terms version 3 (CTV3). The codes are shown within the document as a 5 character value to show that the Read Code is for a 5-Byte system.
 - i) Where a '%' wildcard is displayed, the Read Code is filled to 5 characters with full-stops. When implementing a search for the Read Code, only the non full-stop values should be used in the search, For example, a displayed Read Code of c1...% should be implemented as a search for c1%, i.e. should find c1 and any of it's children.
 - Where a range of read codes are displayed, the Read Code is filled to 5 characters with full-stops. When implementing the search, only the non full-stop values should be used in the search, For example, a displayed Read Code range of G342. – G3z.. should find all codes between G342 and G3z (including any children where applicable).
- 3) Where Rulesets are specified as multiple rules they are to be processed sequentially. Processing of rules should terminate as soon as a 'Reject' or 'Select' condition is encountered. A count should be returned for each Select statement. Unless explicitly stated there is no need to return a count for the Reject statements.
- 4) Rules are expressed as logical statements that evaluate as either 'true' or 'false'. The following operators are required to be supported:

a)	>	(greater than)	e)	AND
b)	<	(less than)	f)	OR
c)	=	(equal to)	g)	NOT
d)	≠	(not equal to)		

- 5) Where date criteria are specified with intervals of multiples of months or years these should be interpreted as calendar months or calendar years.
- 6) If a Data item has a NULL value and is used in the Qualifying Criteria (in section 2 Clinical Data Extraction Criteria) of another Data item then the dependant data item fields will also be NULL as dates cannot be checked against a NULL value. For example, if the Qualifying Criteria is looking for an intervention to have taken place after a test and the patient has an intervention date but no test date it is not possible to check the

intervention has taken place after the test (as there is no test date to check against). This means the data items for the intervention will also be NULL.

Dataset Specification

1) Patient selection criteria:

a) Registration status

<u>Current registration</u> <u>status</u>	<u>Qualifying criteria</u>
Currently registered for GMS	Most recent registration date <= (ACHIEVEMENT_DAT)
Previously registered for GMS	Any sequential pairing of registration date and deregistration date where both of the following conditions are met: registration date <= (ACHIEVEMENT_DAT); and deregistration date > (ACHIEVEMENT_DAT)

b) Diagnostic/case management register code status

Code criteria	Qualifying	codes	Time criteria
	Read codes v2	CTV3	Latest >= 01.04.2014 AND >=
Included	8CV4.	XaYD1	Most recent registration date
Included	(Admission avoidance care started codes)		AND <= ACHIEVEMENT_DAT
	Read codes v2	CTV3	Latest <=
Excluded	8CT2.	XaYD2	(ACHIEVEMENT_DAT) AND > Date of code
	(Admission avoidance	care ended codes)	above

2) <u>Clinical data extraction criteria</u>

<u>Field</u> <u>Number</u>	<u>Field name</u>	<u>Data item</u>		<u>Qualifying criteria</u>
1	PAT_ID	Patient ID r	number	Unconditional
2	REG_DAT	Date of patient	registration	Latest <= ACHIEVEMENT_DAT
3	PAT_AGE	Patient age (years) at PAYM	ENTPERIODSTART_DAT	Unconditional
		Read codes v2	CTV3	Latest >= 01.04.2014 AND >=
4	AACARESTART_COD	8CV4.	XaYD1	REG_DAT
		(Admission avoidance o	care started codes)	AND <= ACHIEVEMENT_DAT
5	AACARESTART_DAT	Date of AACARES	START_COD	Chosen record
		Read codes v2	CTV3	Latest >= 01.04.2014 AND >=
6	NAMEDACGP_COD	67DJ.	Xab9D	REG_DAT AND <=
		(Informing patient of named account	Informing patient of named accountable general practitioner codes)	
7	NAMEDACGP_DAT	Date of NAMED	ACGP_COD	Chosen record
		Read codes v2	CTV3	Latest >=
8	AACPAGREED_COD	8CSB.	XabFm	AACARESTART_DAT AND <=
		(Admission avoidance care plan agreed codes)		ACHIEVEMENT_DAT
9	AACPAGREED_DAT	Date of AACPAGREED_COD		Chosen record
10		Read codes v2	CTV3	Latest >=
10	AACPDEC_COD	8IAe1	XabFn	AACARESTART_DAT AND <=

		(Admission avoidance care plan declined codes)		ACHIEVEMENT_DAT
11	AACPDEC_DAT	Date of AACPDE	C_COD	Chosen record
		Read codes v2	CTV3	Latest >=
12	AACPREVIEW_COD	8CMG3	XabFo	AACPAGREED_DAT AND <=
		(Review of admission avoidar	nce care plan codes)	ACHIEVEMENT_DAT
13	AACPREVIEW_DAT	Date of AACPREVIEW_COD		Chosen record
		Read codes v2	CTV3	Latest >=
14	EMHOSPAD_COD	8H2%	8H2%	AACARESTART_DAT AND <=
		(Emergency hospital ad	mission codes)	ACHIEVEMENT_DAT
15	EMHOSPAD_DAT	Date of EMHOSPAD_COD		Chosen record

ES count rulesets

The following section shows how the various counts used within this ES are defined. In some instances the cohort counts (list of eligible patients) are used to derive multiple counts. In this instance CohortCount_PUPACC001 forms the cohort for the derived counts ManagementInformationCount_PUPAMI001 to PUPAMI009 and CohortCount_PUPACC002 forms the cohort for the derived counts ManagementInformationCount_PUPAMI010 to PUPAMI018.

Where a count is to be used for payment it is listed as a PaymentCount i.e. PaymentCount_PUPA001 and where a count is used to support management information reporting it is listed as a ManagementInformationCount i.e. ManagementInformationCount_PUPAMI001.

For an explanation of the dates in this section used please refer to Point 1 of the <u>Notes</u> section above.

1. Cohort Counts

Both cohort counts are based on the patients that are selected in the <u>diagnostic/case</u> <u>management register</u>.

<u>CohortCount PUPACC001</u>: The number of patients aged 18 and over at <u>PAYMENTPERIODSTART DAT</u>.

<u>Rule</u> <u>number</u>	<u>Rule</u>	<u>Action if true</u>	<u>Action if false</u>
1	If <u>PAT_AGE</u> >= 18	Select	Reject

Rule 1: The aim of this rule is to identify if the patient is aged 18 or over at the <u>PAYMENTPERIODSTART DAT</u>. If the patient is aged 18 or over on this date they are added to the cohort, otherwise they are rejected and not included in this cohort.

<u>CohortCount_PUPACC002:</u> The number of patients aged under 18 at <u>PAYMENTPERIODSTART_DAT</u>.

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>PAT_AGE</u> < 18	Select	Reject

Rule 1: The aim of this rule is to identify if the patient is aged under 18 at the <u>PAYMENTPERIODSTART_DAT</u>. If the patient is aged under 18 on this date they are added to the cohort, otherwise they are rejected and not included in this cohort.

2. Payment Counts

PaymentCount PUPA001: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, at the end of the reporting period.

PaymentCount_PUPA001: To be applied to the above <u>CohortCount PUPACC001</u> population, however in this instance PaymentCount_PUPA001 will return the same count as the <u>CohortCount PUPACC001</u>

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>PAT_AGE</u> >= 18	Select	Reject

This count will be used to determine payment

Rule 1: The aim of this rule is to identify if the patient is aged 18 years or over on the first day of the quarter. If the patient is aged 18 years or over on the first day of the quarter they are selected and added to the count, otherwise they are rejected and not included in the count.

3. Management Information Counts

<u>ManagementInformationCount</u> <u>PUPAMI001</u>: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have been informed of their named accountable GP up to the end of the reporting period.

ManagementInformationCount_PUPAMI001: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>NAMEDACGP_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has been informed of their named accountable GP up to the end of the reporting period. If the patient has been informed of their named accountable GP they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount</u> <u>PUPAMI002</u>: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have not been informed of their named accountable GP up to the end of the reporting period.

ManagementInformationCount_PUPAMI002: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>NAMEDACGP_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if the patient has not been informed of their named accountable GP up to the end of the reporting period. If the patient has been informed of their named accountable GP they are rejected and not included in the count, otherwise they are selected and added to the count.

<u>ManagementInformationCount</u> <u>PUPAMI003</u>: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have received an avoiding unplanned admissions care plan up to the end of the reporting period.

ManagementInformationCount_PUPAMI003: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPAGREED_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has received an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has received an avoiding unplanned admissions care plan they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount PUPAMI004</u>: The number of patients aged 18 or over on the avoiding unplanned admissions case management register, who declined an avoiding unplanned admissions care plan up to the end of the reporting period.

ManagementInformationCount_PUPAMI004: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPAGREED_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Next rule
2	If <u>AACPDEC_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has received an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has received an avoiding unplanned admissions care plan they are rejected and not included in the count, otherwise they are passed on to the next rule.

Rule 2: The aim of this rule is to identify if the patient has declined an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has declined an avoiding unplanned admissions care plan they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount PUPAMI005</u>: The number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have no record of receiving or declining a care plan at the end of the reporting period.

ManagementInformationCount_PUPAMI005: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPAGREED DAT</u> <= <u>PAYMENTPERIODEND_DAT</u> OR If <u>AACPDEC_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if the patient has either received or declined an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has received or declined an avoiding unplanned admissions care plan they are rejected and not included in the count, otherwise the patient is selected and added to the count.

<u>ManagementInformationCount</u> <u>PUPAMI006</u>: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have received an avoiding unplanned admissions care plan review in the quarter of the reporting period.

ManagementInformationCount_PUPAMI006: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPREVIEW_DAT</u> >= <u>PAYMENTPERIODSTART_DAT</u> AND If <u>AACPREVIEW_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has received an avoiding unplanned admissions care plan review within the reporting period. If the patient has received an avoiding unplanned admissions care plan review within the reporting period they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount</u> <u>PUPAMI007</u>: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have not received an avoiding unplanned admissions care plan review in the quarter of the reporting period.

ManagementInformationCount_PUPAMI007: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPREVIEW_DAT</u> >= <u>PAYMENTPERIODSTART_DAT</u> AND If <u>AACPREVIEW_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if a patient has not received an avoiding unplanned admissions care plan review within the reporting period. If the patient has received an avoiding unplanned admissions care plan review within the reporting period they are rejected and not included in the count, otherwise they are selected.

<u>ManagementInformationCount</u> <u>PUPAMI008</u>: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have not received an avoiding unplanned admissions care plan review up to the end of the reporting period.

ManagementInformationCount_PUPAMI008: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPREVIEW_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if a patient has not received an avoiding unplanned admissions care plan review up to the end of the reporting period. If the patient has received an avoiding unplanned admissions care plan review up to the end of the reporting period they are rejected and not included in the count, otherwise they are selected.

<u>ManagementInformationCount</u> <u>PUPAMI009</u>: The total number of patients aged 18 or over on the avoiding unplanned admissions case management register, who have a record of one or more hospital emergency admissions in the quarter of the reporting period.

ManagementInformationCount_PUPAMI009: To be applied to the above <u>CohortCount_PUPACC001</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>EMHOSPAD_DAT</u> >= <u>PAYMENTPERIODSTART_DAT</u> AND If <u>EMHOSPAD_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has had an emergency admission to hospital within the reporting period. If the patient has had an emergency admission to hospital within the reporting period they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount PUPAMI010</u>: The total number of patients aged under 18 on the avoiding unplanned admissions case management register, who have been informed of their named accountable GP up to the end of the reporting period.

ManagementInformationCount_PUPAMI010: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>NAMEDACGP_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has been informed of their named accountable GP up to the end of the reporting period. If the patient has been informed of their named accountable GP they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount PUPAMI011</u>: The total number of patients aged under 18 on the avoiding unplanned admissions case management register, who have not been informed of their named accountable GP up to the end of the reporting period.

ManagementInformationCount_PUPAMI011: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>NAMEDACGP_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if the patient has not been informed of their named accountable GP up to the end of the reporting period. If the patient has been informed of their named accountable GP they are rejected and not included in the count, otherwise they are selected and added to the count.

<u>ManagementInformationCount PUPAMI012</u>: The total number of patients aged under 18 on the avoiding unplanned admissions case management register, who have received an avoiding unplanned admissions care plan up to the end of the reporting period.

ManagementInformationCount_PUPAMI012: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPAGREED DAT</u> <= <u>PAYMENTPERIODEND DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has received an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has received an avoiding unplanned admissions care plan they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount PUPAMI013</u>: The number of patients aged under 18 on the avoiding unplanned admissions case management register, who declined an avoiding unplanned admissions care plan up to the end of the reporting period.

ManagementInformationCount_PUPAMI013: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPAGREED_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Next rule
2	If <u>AACPDEC_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has received an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has received an avoiding unplanned admissions care plan they are rejected and not included in the count, otherwise they are passed on to the next rule.

Rule 2: The aim of this rule is to identify if the patient has declined an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has declined an avoiding unplanned admissions care plan they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount PUPAMI014</u>: The number of patients aged under 18 on the avoiding unplanned admissions case management register, who have no record of receiving or declining a care plan at the end of the reporting period.

ManagementInformationCount_PUPAMI014: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	Action if false
1	If <u>AACPAGREED DAT</u> <= <u>PAYMENTPERIODEND_DAT</u> OR If <u>AACPDEC_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if the patient has either received or declined an avoiding unplanned admissions care plan up to the end of the reporting period. If the patient has received or declined an avoiding unplanned admissions care plan they are rejected and not included in the count, otherwise the patient is selected and added to the count.

<u>ManagementInformationCount PUPAMI015</u>: The total number of patients aged under 18 on the avoiding unplanned admissions case management register, who have received an avoiding unplanned admissions care plan review in the quarter of the reporting period.

ManagementInformationCount_PUPAMI015: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPREVIEW_DAT</u> >= <u>PAYMENTPERIODSTART_DAT</u> AND If <u>AACPREVIEW_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has received an avoiding unplanned admissions care plan review within the reporting period. If the patient has received an avoiding unplanned admissions care plan review within the reporting period they are selected and added to the count, otherwise they are rejected and not included in the count.

<u>ManagementInformationCount PUPAMI016</u>: The total number of patients aged under 18 on the avoiding unplanned admissions case management register, who have not received an avoiding unplanned admissions care plan review in the quarter of the reporting period.

ManagementInformationCount_PUPAMI016: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPREVIEW_DAT</u> >= <u>PAYMENTPERIODSTART_DAT</u> AND If <u>AACPREVIEW_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if a patient has not received an avoiding unplanned admissions care plan review within the reporting period. If the patient has received an avoiding unplanned admissions care plan review within the reporting period they are rejected and not included in the count, otherwise they are selected.

<u>ManagementInformationCount PUPAMI017</u>: The total number of patients aged under 18 on the avoiding unplanned admissions case management register, who have not received an avoiding unplanned admissions care plan review up to the end of the reporting period.

ManagementInformationCount_PUPAMI017: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>AACPREVIEW_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Reject	Select

Rule 1: The aim of this rule is to identify if a patient has not received an avoiding unplanned admissions care plan review up to the end of the reporting period. If the patient has received an avoiding unplanned admissions care plan review up to the end of the reporting period they are rejected and not included in the count, otherwise they are selected.

<u>ManagementInformationCount PUPAMI018</u>: The total number of patients aged under 18 on the avoiding unplanned admissions case management register, who have a record of one or more hospital emergency admissions in the quarter of the reporting period.

ManagementInformationCount_PUPAMI018: To be applied to the above <u>CohortCount_PUPACC002</u> population

<u>Rule</u> <u>number</u>	Rule	<u>Action if true</u>	<u>Action if false</u>
1	If <u>EMHOSPAD_DAT</u> >= <u>PAYMENTPERIODSTART_DAT</u> AND If <u>EMHOSPAD_DAT</u> <= <u>PAYMENTPERIODEND_DAT</u>	Select	Reject

Rule 1: The aim of this rule is to identify if the patient has had an emergency admission to hospital within the reporting period. If the patient has had an emergency admission to hospital within the reporting period they are selected and added to the count, otherwise they are rejected and not included in the count.